

# Principal $\infty$ -bundles and $\infty$ -topos theory

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# Outline

The main reference is *Principal  $\infty$ -bundles — General theory* by Thomas Nikolaus, Urs Schreiber, Danny Stevenson.

# Outline

- 1 Conventions
- 2 Introduction to  $\infty$ -toposes
- 3 Classifying Spaces
- 4 Applications

# Conventions

We use an intrinsic and model-independent language for  $\infty$ -categories, in which

- a 1-category is automatically regarded as an  $\infty$ -category.
- all functors, limits and colimits are  $\infty$ -category-theoretical.

Some notations:

- $\mathbf{H}$  denotes a fixed (Grothendieck)  $\infty$ -topos;
- $\mathbf{H}^{\rightarrow}$  denotes the arrow category  $\mathrm{Fun}(\{* \rightarrow *\}, \mathbf{H})$ ;
- $\mathbf{H}^{*/}$  denotes the category of pointed objects of  $\mathbf{H}$ ;
- $\mathbf{H}_{\geq 1}^{*/}$  the category of pointed connected objects of  $\mathbf{H}$ .

## Section 2

# Introduction to $\infty$ -toposes

# Introduction to $\infty$ -toposes

- A topos is a category in which we can do *set theory*; we can perform in a topos everything we do on sets.
- Subsets from logical formulas, quotients by equivalence relations, sets of functions, ...
- The archetypical topos is  $\mathbf{Set}$ .
- An  $\infty$ -topos is an  $\infty$ -category in which we can do *homotopy theory*; we can perform in an  $\infty$ -topos everything we do on spaces.
- Homotopy groups, cohomology, connectivity, truncatedness, Postnikov towers, delooping, stabilization, ...
- The archetypical  $\infty$ -topos is  $\mathbf{Grpd}_\infty$ .
- The full subcategory of  $(n - 1)$ -truncated objects in an  $\infty$ -topos form an *n-topos*.

# Grothendieck toposes

## Definition (Grothendieck topos)

A *Grothendieck topos* is a left exact localization<sup>1</sup> of a presheaf category.

The definition is verbatim the same in  $\infty$ -category theory and gives Grothendieck  $\infty$ -toposes. Note that a presheaf category in this context is  $\mathrm{Fun}(\mathcal{C}^{\mathrm{op}}, \mathrm{Grpd}_{\infty})$ .

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<sup>1</sup>A left exact localization is a fully faithful functor with a left adjoint that preserves finite limits.

# Giraud axioms

The Giraud [Gir60] axioms are a characterization of toposes in terms of category-theoretical properties.

## Definition (Giraud axioms)

A presentable category  $\mathcal{C}$  is said to satisfy the *Giraud axioms* if

- 1 colimits in  $\mathcal{C}$  are universal (i.e. stable under pullback);
- 2 coproducts in  $\mathcal{C}$  are disjoint;
- 3 quotients in  $\mathcal{C}$  are effective epimorphisms (i.e. coequalizers).

These conditions all have analogs in  $\infty$ -category theory, and form the  $\infty$ -*Giraud axioms*. To state the third condition in  $\infty$ -categorical terms, we need *groupoid objects*.



# Groupoids and groups

## Definition (groupoid object)

A *groupoid object* in an  $\infty$ -category  $\mathcal{C}$  is a simplicial object  $\mathcal{G}: \Delta^{\text{op}} \rightarrow \mathcal{C}$  such that the natural map

$$\mathcal{G}_n \rightarrow \mathcal{G}_1 \times_{\mathcal{G}_0} \cdots \times_{\mathcal{G}_0} \mathcal{G}_1$$

is an equivalence for every  $n \geq 1$ . The object  $\mathcal{G}_0$  is the “set of vertices” of the groupoid. We also call  $\mathcal{G}$  a groupoid object *over*  $\mathcal{G}_0$ . Denote by  $\text{Grpd}(\mathcal{C})$  the full subcategory of  $\text{Fun}(\Delta^{\text{op}}, \mathcal{C})$  spanned by groupoid objects.

# Groupoids and groups

## Definition (group object)

A *group object* <sup>2</sup> in an  $\infty$ -category  $\mathcal{C}$  is a groupoid object  $\mathcal{G}$  with an equivalence

$$\mathcal{G}_0 \simeq 1.$$

We call  $\mathcal{G}_1$  the *underlying object* of  $\mathcal{G}$ , and denote it by  $G$ . By abuse of notation we also speak of a *group object*  $G$ .

Denote by  $\mathrm{Grp}(\mathcal{C})$  the full subcategory of  $\mathrm{Fun}(\Delta^{\mathrm{op}}, \mathcal{C})$  spanned by group objects.

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<sup>2</sup>This involves a special case of the *delooping hypothesis*. Group objects can also be defined as  $A_\infty$ -algebras, and by delooping, they are equivalent to this definition (at least in  $\infty$ -toposes).

# Groupoids and groups

The concept of group(-oid) objects in an  $\infty$ -category generalizes that of ordinary group(-oid) objects in a 1-category.

- A group object in  $\mathbf{Set}$  is an ordinary group.
- A group object in  $\mathbf{Mfd}$  is a Lie group.
- A group object in  $\mathbf{Grpd}_\infty$  is an  $\infty$ -*group*, or equivalently an  $A_\infty$ -*algebra*.
- A groupoid object in  $\mathbf{Sh}(\mathbf{Mfd}, \mathbf{Grpd}_\infty)$  is called a *smooth  $\infty$ -groupoid*.

# Groupoids and groups

For  $\mathcal{G}$  a groupoid object, the object  $\operatorname{colim} \mathcal{G}$  may be thought of as a quotient of  $\mathcal{G}_0$  obtained by gluing along the morphisms of  $\mathcal{G}$ .

## Definition (quotient projection of a groupoid object)

For a groupoid object  $\mathcal{G}$  in an  $\infty$ -topos  $\mathbf{H}$ , The natural map to the colimit

$$\mathcal{G}_0 \rightarrow \operatorname{colim} \mathcal{G}$$

is called the *quotient projection* of the groupoid object.

# Groupoids and groups

- By definition of colimits, there is an adjunction

$$\mathbf{H} \begin{array}{c} \xleftarrow{\text{colim}} \\ \xrightleftharpoons[\text{const}]{\perp} \\ \end{array} \text{Grpd}(\mathbf{H})$$

that exhibits  $\mathbf{H}$  as a reflective subcategory of  $\text{Grpd}(\mathbf{H})$ .

- The functor  $\text{colim}$  in this context is also called the *realization*.

# Groupoids and groups

## Definition (Čech nerve, effective epimorphism)

Let  $\mathcal{C}$  be an  $\infty$ -category with pullback. To any morphism  $P \rightarrow X$  in  $\mathcal{C}$  is associated a groupoid object

$$\check{C}(P \rightarrow X) = \cdots \rightrightarrows P \times_X P \times_X P \rightrightarrows P \times_X P \rightrightarrows P$$

called the *Čech nerve*.

A morphism  $P \rightarrow X$  is called an *effective epimorphism* if it is the quotient projection of its own Čech nerve:

$$\operatorname{colim} \check{C}(P \rightarrow X) \xrightarrow{\simeq} X.$$

# Čech nerve and quotient projection

The Čech nerve as a right Kan extension:

$$\begin{array}{ccc}
 & \Delta_+ = \{ \cdots [1] \rightrightarrows [0] \leftarrow [-1] \} & \\
 \swarrow & & \searrow \\
 \{ [0] \leftarrow [-1] \} & & \Delta = \{ \cdots [1] \rightrightarrows [0] \}
 \end{array}$$

$$\begin{array}{ccccc}
 & & \text{Fun}(\Delta_+^{\text{op}}, \mathbf{H}) & & \\
 \text{res.} \swarrow & & & \nwarrow \text{L. Kan (=colimit cocone)} & \\
 & & & & \\
 \mathbf{H}^{\rightarrow} & \xrightarrow{\text{R. Kan}} & & \xrightarrow{\text{res.}} & \text{Fun}(\Delta^{\text{op}}, \mathbf{H}) \\
 & \searrow \text{quot. proj.} & & \swarrow & \\
 & & & & \\
 & \xrightarrow{\quad \perp \quad} & & & \\
 & \text{Č} & & & 
 \end{array}$$

# Čech nerve and quotient projection

Here are some side remarks.

- In general, for any morphism  $f: U \rightarrow X$ ,  $\operatorname{colim} \check{C}(f) \rightarrow X$  is called the *image* of  $f$ . The sequence  $U \rightarrow \operatorname{im}(f) \rightarrow X$  is called the *(epi, mono)-factorization* of  $f$ , a special case of the *( $n$ -connected,  $n$ -truncated)-factorization* when  $n = -1$ .
- $\operatorname{im}(f)$  is the  $(-1)$ -truncation of  $f$  in  $\mathcal{C}_{/X}$ . In other words, it is the smallest subobject of  $X$  through which  $f$  can factor.
- Of course,  $f$  is an effective epimorphism if and only if  $\operatorname{im} f = \operatorname{id}_X$ .



# Giraud axioms for $\infty$ -toposes

## Definition

A presentable  $\infty$ -category  $\mathcal{C}$  is said to satisfy the *Giraud axioms* if

- 1 colimits in  $\mathcal{C}$  are universal;
- 2 coproducts in  $\mathcal{C}$  are disjoint;
- 3 every groupoid object in  $\mathcal{C}$  is equivalent to the Čech nerve of its quotient projection.

## Theorem (HTT 6.1.0.6)

An  $\infty$ -category is an  $\infty$ -topos if and only if it satisfies the Giraud axioms.

# Giraud axioms for $\infty$ -toposes

- The third Giraud axiom requires the Čech nerve–quotient projection adjunction to restrict to an equivalence of full subcategories:

$$\begin{array}{ccc}
 \mathbf{H} \rightarrow & \begin{array}{c} \xleftarrow{\text{quot. proj.}} \\ \xrightarrow{\perp} \\ \xrightarrow{\check{C}} \end{array} & \mathbf{Fun}(\Delta^{\text{op}}, \mathbf{H}) \\
 \uparrow & & \uparrow \\
 \mathbf{H}_{\text{eff}} \rightarrow & \begin{array}{c} \xleftarrow{\simeq} \\ \xrightarrow{\quad} \end{array} & \mathbf{Grpd}(\mathbf{H}),
 \end{array}$$

the two subcategories being the *essential images* of the two adjoint functors.

# Looping and delooping

- For any pointed object  $X \in \mathbf{H}^{*/}$ , the loop space  $\Omega X = * \times_X *$  admits a *group structure* given by the Čech nerve of  $* \rightarrow X$ ,

$$\Omega X = \check{C}(* \rightarrow X), \quad (\Omega X)_n = * \times_X \cdots \times_X * \text{ (} n+1 \text{ points)}.$$

- The loop space functor  $\Omega: \mathbf{H}^{*/} \rightarrow \mathbf{Grp}(\mathbf{H})$  fits into the diagram

$$\begin{array}{ccc} \mathbf{H}^{\rightarrow} & \xrightarrow{\check{C}} & \mathbf{Grpd}(\mathbf{H}) \\ \uparrow & & \uparrow \\ \mathbf{H}^{*/} & \xrightarrow{\Omega} & \mathbf{Grp}(\mathbf{H}). \end{array}$$

# Looping and delooping

## Lemma (proved in HTT 7.2.2.11)

Let  $X \in \mathbf{H}^{*/}$  be a pointed object. The map  $* \rightarrow X$  is an effective epimorphism if and only if  $X$  is connected. In particular we have a full subcategory

$$\mathbf{H}_{\geq 1}^{*/} \hookrightarrow \mathbf{H}_{\text{eff}}^{\rightarrow}.$$

## Lemma (HTT 7.2.1.14)

A morphism  $f: X \rightarrow Y$  in an  $\infty$ -topos  $\mathbf{H}$  is an effective epimorphism if and only if its truncation  $\tau_{\leq 0}f: \tau_{\leq 0}X \rightarrow \tau_{\leq 0}Y$  is an effective epimorphism in the underlying 1-topos  $\mathbf{h}\tau_{\leq 0}\mathbf{H}$ .

- In particular, a map  $f: X \rightarrow Y$  in  $\mathbf{Grpd}_{\infty}$  is an effective epimorphism if and only if  $\pi_0 f: \pi_0 X \rightarrow \pi_0 Y$  is a surjection.

# Looping and delooping

The functor  $\Omega$  restricted to  $\mathbf{H}_{\geq 1}^{*/} \hookrightarrow \mathbf{H}_{\text{eff}}^{\rightarrow}$  gives an equivalence. Its inverse  $\mathbf{B}$  is called *delooping*.

$$\begin{array}{ccc}
 \mathbf{H}_{\text{eff}}^{\rightarrow} & \xleftarrow[\simeq]{\text{quot. proj.}} & \text{Grpd}(\mathbf{H}) \\
 \uparrow & \searrow \check{C} & \uparrow \\
 \mathbf{H}_{\geq 1}^{*/} & \xleftarrow[\Omega]{\mathbf{B}} & \text{Grp}(\mathbf{H})
 \end{array}$$

The equivalence  $G \simeq \Omega \mathbf{B}G$  gives a fiber sequence

$$G \rightarrow * \rightarrow \mathbf{B}G.$$

## Section 3

# Classifying Spaces

# Classifying Spaces

Fix an  $\infty$ -topos  $\mathbf{H}$ . Let  $X$  be an object of  $\mathbf{H}$  and  $G$  be a group object of  $\mathbf{H}$ . The aim of this section is to state and prove the equivalence (of  $\infty$ -groupoids)

$$GBund(X) \simeq \mathbf{H}(X, \mathbf{B}G).$$

# $G$ -actions

## Definition ( $G$ -action)

A  $G$ -action on an object  $P$  is a groupoid object  $P//G$  of the form

$$P//G = \cdots \rightrightarrows P \times G \times G \rightrightarrows P \times G \xrightarrow[\text{pr}_1]{\rho} P$$

such that the projection maps

$$\begin{array}{ccccc} \cdots & \rightrightarrows & P \times G \times G & \rightrightarrows & P \times G \rightrightarrows P \\ & & \downarrow & & \downarrow \\ \cdots & \rightrightarrows & * \times G \times G & \rightrightarrows & * \times G \rightrightarrows * \end{array}$$

constitute a morphism  $P//G \rightarrow *//G$  of groupoid objects.

The corresponding *quotient object* of the  $G$ -action is the colimit of the groupoid object.



# $G$ -actions

## Definition

The  $G$ -actions form a full subcategory

$$G\mathrm{Act}(\mathbf{H}) \hookrightarrow \mathrm{Grpd}(\mathbf{H})_{/(*//G)}.$$

- The groupoid object  $*//G$  is  $G$  “itself” (regarded as a groupoid object over  $*$ ). Its quotient object of  $*//G$  is by definition  $\mathbf{B}G$ .

# Principal $G$ -bundles

## Definition (principal $G$ -bundle)

A *principal  $G$ -bundle* over  $X$  is a morphism  $P \rightarrow X$  with a  $G$ -action on  $P$  whose quotient object is  $X$ .

- The traditional requirement of *freeness* is not a characterization of principality, but a condition that ensures that the base is a *0-truncated object*. In the context of  $\infty$ -topos, every action is a principal bundle over its quotient.
- Local triviality is also automatic: a principal  $G$ -bundle  $P \rightarrow X$  is always a cover (effective epimorphism), and it trivializes itself. We will see later.

# Principal $G$ -bundles

- The Giraud axiom dictates that, for any principal  $G$ -bundle  $P \rightarrow X$  there is an equivalence of groupoid objects

$$P//G \simeq \check{C}(P \rightarrow X).$$

This corresponds to the traditional requirement that the *shear map*  $P \times G \rightarrow P \times_X P$  is an equivalence.

# Principal $G$ -bundles

## Definition

The category of principal  $G$ -bundles over  $X$  is

$$GBund(X) := GAct(\mathbf{H}) \times_{\mathbf{H}} \{X\}.$$

# Classifying maps

## Proposition

For  $f: X \rightarrow \mathbf{B}G$  any morphism, its (homotopy) fiber  $P \rightarrow X$  is canonically a  $G$ -principal bundle.

- Pull back  $(*//G) \rightarrow \mathbf{B}G$  along  $f$  to get  $(P//G) \rightarrow X$ .

$$\begin{array}{ccccccc}
 \cdots & \rightrightarrows & P \times G \times G & \rightrightarrows & P \times G & \rightrightarrows & P \longrightarrow X \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \cdots & \rightrightarrows & * \times G \times G & \rightrightarrows & * \times G & \rightrightarrows & * \longrightarrow \mathbf{B}G \\
 & & \downarrow & & \downarrow & & \downarrow f
 \end{array}$$

$$\Delta^{\text{op}} \xrightarrow{*//G} \mathbf{H} \xrightarrow{p_*} \mathbf{H}/\mathbf{B}G \xrightarrow{f^*} \mathbf{H}/X$$

$\underbrace{\hspace{10em}}_{P//G}$

- Using the fact (first Giraud axiom) that  $f^*$  preserves colimits, we have  $\text{colim } P//G \simeq X$ , so  $P \rightarrow X$  is a  $G$ -principal bundle.

# Classifying maps

- This defines a map

$$\mathbf{H}(X, \mathbf{B}G) \rightarrow GBund(X).$$

# Trivial $G$ -bundles

## Definition (trivial $G$ -bundle)

The trivial  $G$ -bundle on  $X$  is the one obtained from the morphism  $X \rightarrow * \rightarrow \mathbf{B}G$ .

$$\begin{array}{ccccc} X \times G & \longrightarrow & G & \longrightarrow & * \\ \downarrow & & \downarrow & & \downarrow \\ X & \longrightarrow & * & \longrightarrow & \mathbf{B}G \end{array}$$

# Local triviality of principal $G$ -bundles

## Proposition (local triviality)

For any principal  $G$ -bundle  $P \rightarrow X$ , there exists an effective epimorphism  $U \rightarrow X$  such that the pullback bundle of  $P \rightarrow X$  over  $U$  is trivial.

- The proof just takes  $U = P$ . What's important is the existence of the cover  $U \rightarrow X$ , not its actual structure.



# Classifying maps

Every principal  $G$ -bundle on  $X$  comes from a pullback along  $X \rightarrow \mathbf{B}G$ .

## Proposition

For every principal  $G$ -bundle  $P \rightarrow X$  the rightmost square in

$$\begin{array}{ccccccc}
 \cdots & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & P \times G \times G & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & P \times G & \rightrightarrows & P \longrightarrow X \\
 & & \downarrow & & \downarrow & & \downarrow f \\
 \cdots & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & * \times G \times G & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & * \times G & \rightrightarrows & * \longrightarrow \mathbf{B}G
 \end{array}$$

is a pullback.

- The proof uses a “local-to-global” argument.

# Classifying maps

## Lemma (effective epis reflect equivalences)

Consider the following pullback diagram in an  $\infty$ -topos.

$$\begin{array}{ccc}
 A & \xrightarrow{\varphi} \twoheadrightarrow & B \\
 \downarrow \simeq & & \downarrow \\
 A' & \xrightarrow[\varphi']{\twoheadrightarrow} & B'
 \end{array}$$

Suppose  $\varphi'$  (and moreover,  $\varphi$ ) is an effective epimorphism, and the left map is an equivalence, then the right map is also an equivalence.

- Intuition:  $\varphi$  is a cover, and the condition says  $B$  is *locally* equivalent to  $B'$ .

# Classifying maps

- We take the Čech nerves  $\check{C}(\varphi)$  and  $\check{C}(\varphi')$ :

$$\begin{array}{ccccccc}
 \cdots & \rightrightarrows & A \times_B A \times_B A & \rightrightarrows & A \times_B A & \rightrightarrows & A \xrightarrow{\varphi} B \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \cdots & \rightrightarrows & A' \times_{B'} A' \times_{B'} A' & \rightrightarrows & A' \times_{B'} A' & \rightrightarrows & A' \xrightarrow[\varphi']{} B'
 \end{array}$$

- All vertical arrows to the left of  $A \rightarrow A'$  are pullbacks of  $A \rightarrow A'$ , and are thus equivalences. The conclusion follows from the functoriality of colimits.

# Classifying maps

## Lemma (effective epis reflect pullbacks)

Consider the following diagram in an  $\infty$ -topos.

$$\begin{array}{ccccc} A & \longrightarrow & B & \longrightarrow & C \\ \downarrow & & \downarrow & & \downarrow \\ X & \xrightarrow{f} \twoheadrightarrow & Y & \longrightarrow & Z \end{array}$$

Suppose  $f$  is an effective epimorphism, and the left square and the outer rectangle are pullbacks. Then the right square is also a pullback.

- Intuition:  $f$  is a cover, and the condition says  $B$  is a pullback *locally* on  $Y$ .

# Classifying maps

- Take  $B' = Y \times_Z C$  and  $A' = X \times_Y B'$ :  
(all parallelograms are pullbacks)

$$\begin{array}{ccccc}
 A & \longrightarrow & B & & \\
 \searrow \scriptstyle \simeq & & \searrow \scriptstyle \dashrightarrow & & \searrow \\
 & & A' & \twoheadrightarrow & B' & \twoheadrightarrow & C \\
 & \searrow & \downarrow & & \downarrow & & \downarrow \\
 & & X & \xrightarrow{f} & Y & \longrightarrow & Z
 \end{array}$$

- $A \rightarrow A'$  is an equivalence.
- $A' \rightarrow B'$  is an effective epimorphism.
- The previous lemma then implies that  $B \rightarrow B'$  is an equivalence.

# Classifying maps

- Applying the lemma to the diagram

$$\begin{array}{ccc}
 U \times G & \longrightarrow & U \\
 \downarrow & & \downarrow \\
 P & \longrightarrow & X \\
 \downarrow & & \downarrow \\
 * & \longrightarrow & \mathbf{B}G
 \end{array}$$

gives the conclusion.

# Classifying maps

We have seen an equivalence

$$GBund \simeq \mathrm{Cart}(\mathbf{H})_{/(* \rightarrow \mathbf{B}G)}^{\rightarrow} \times_{\mathbf{H}} \{X\}.$$

The latter is equivalent to  $\mathbf{H}_{/\mathbf{B}G} \times_{\mathbf{H}} \{X\}$ , which is in turn equivalent to  $\mathbf{H}(X, \mathbf{B}G)$ .

## Section 4

# Applications



# Group extensions

- Fix a group  $G$  and an abelian group  $A$ . A *group extension* of  $G$  by  $A$  is a short exact sequence of groups

$$1 \rightarrow A \hookrightarrow E \twoheadrightarrow G \rightarrow 1.$$

- It is equivalently a fiber sequence

$$\mathbf{B}A \rightarrow \mathbf{B}E \rightarrow \mathbf{B}G,$$

or a principal  $\mathbf{B}A$ -bundle over  $\mathbf{B}G$ .

- Such group extensions are classified by maps  $\mathbf{B}G \rightarrow \mathbf{B}B A$ , or elements of the “second group cohomology”  $H^2(G, A)$ .

# Galois theory

- An object  $A$  is called a *Galois object* if  $A$  is an  $\mathrm{Aut}(A)$ -torsor.